A resource-efficient QoS routing protocol for mobile ad hoc networks

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Summary

The performance of existing OoS routing protocols is often constrained with high control traffic and database maintenance overhead. We observe that by proper coupling of nodal mobility and location information, better QoS support can be achieved with reduced control traffic and database requirements. In this paper, we investigate the performance of a location-aware QoS routing protocol, called trigger-based distributed routing (TDR), for mobile ad hoc networks. In this protocol, the nodal database size is reduced by maintaining only local neighborhood information, and route maintenance control overhead is kept low by maintaining only one route at a time for a session. Distributed rerouting control and directed alternate route discovery help reducing the rerouting control overhead and performing quicker route repair. Moreover, rerouting based on signal degradation history makes it possible to minimize the in-session route failure. Our evaluation shows that the TDR protocol has significantly better QoS support and reduced overhead requirements compared to the existing QoS routing protocols in ad hoc networks. Copyright © 2003 John Wiley & Sons, Ltd.

KEY WORDS

ad hoc networks on-demand routing mobility model performance analysis real-time quality of service (RT-QoS) QoS ratio grade of service (GoS) global positioning system (GPS)

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1. Introduction

3 Many authors have addressed the routing issues in 4 ad hoc networks from the best-effort service point 5 of view [1-6]. While these approaches attempt to 6 minimize the control and database maintenance over-7 head in serving the traffic, they do not meet real-time 8 quality of service (RT-QoS) criteria, such as band-9 width constraint, end-to-end packet delay, and packet 10 loss. On the other hand, the proposals dealing with 11 QoS provisioning require high control and/or nodal 12 database maintenance overhead [7-10].

13 We observe that some form of proactive routing 14 scheme has to be adopted to tackle the delay, loss, and 15 bandwidth constraints of real-time applications in ad 16 hoc networks. At the same time, in order to optimize 17 resource utilization, one has to see that the buffer and 18 signaling overhead do not go overboard. To address 19 these issues jointly (i.e. QoS support and resource 20 optimization), one needs to have proper mobility 21 and location information about the nodes. As it has 22 been demonstrated in [2,11], the location information 23 can effectively reduce the route discovery overhead. 24 Likewise, the ability to predict the location of nodes 25 with the knowledge of their mobility would help in 26 efficient discovery of an alternate route.

27 In this paper, we present a routing algorithm, called 28 trigger-based distributed routing (TDR), to deal with 29 link failures (induced by, e.g., nodal mobility) in 30 mobile ad hoc networks. From the network operation 31 point of view, the proposed TDR scheme is a reactive 32 algorithm, as the rerouting routine is *triggered* at an 33 active node based on the level and trend of variation 34 of its receive power from the downstream active node. 35 Hence the name 'trigger-based' routing. On the other 36 hand, from the user application point of view, it is a 37 proactive algorithm as (ideally) the traffic experiences 38 no break in the logical route during the session, thus 39 making it suitable for dealing with real-time traffic. 40 The routing scheme is also 'distributed' in the sense 41 that any active node participating in a session can 42 make its own routing decision, which helps reduc-43 ing the nodal computational and database overhead. 44 Our goal is to provide RT-QoS support while keep-45 ing the network overhead low. More specifically, to 46 reduce control traffic, we propose to maintain only the 47 active routes and exploit the location information of 48 the destination to *selectively forward* alternate route 49 queries when a link failure is imminent. Our evalua-50 tion shows that the proposed TDR protocol provides 51 better QoS support with lower control overhead in 52 comparison with the schemes in [7,9], which operate 53

without link failure prediction capability. The TDR 54 scheme provides QoS support comparable to Flow 55 Oriented Routing Protocol (FORP) [10] while incur-56 ring substantially lower control overhead. 57

The rest of this paper is organized as follows. Related previous work is surveyed in Section 2. The TDR protocol details are provided in Section 2. 60 Mobility models are discussed in Section 4, based 61 on which route lifetime and associated control overhead can be quantified. Section 5 provides analy-63 sis of the protocol performance in terms of the 64 reduction in control overhead due to selective route 65 search. Section 6 presents simulation-based performance evaluation and comparison results. Section 7 67 concludes the paper.

2. Previous Work

A lot of work has been reported on routing protocols for mobile ad hoc networks. While the reactive (or on-demand) algorithms, such as DSR [1], TORA [3], ABR [6], ZRP [4], AODV [5], and •GPSR [2], oper- Q2 ate with limited control and database maintenance overhead, they are suitable only for delay-tolerant applications. On the other hand, the proactive (or table-driven) approaches, such as DSDV• [8], WRP Q3 [12], GSR [13], and DREAM [14], attempt to minimize the route disruption time (hence packet loss), but are encumbered with high control and database maintenance overhead.

84 Recently, some QoS-capable protocols have been 85 reported. For example, a protocol with QoS exten-86 sion to AODV [9], called E-AODV, addresses the 87 bandwidth and delay guarantee requirements. Route 88 discovery in this protocol is broadcast-based. The 89 reactive nature of the protocol does not help minimize 90 the service disruptions due to nodal mobility. An in-91 band signaling approach for supporting QoS, called 92 INSIGNIA, is presented in [15]. A route is discovered 93 by the inflow packets and is maintained at the active 94 nodes by velocity-dependent 'soft-state' tags. Since 95 the nodes are not responsible for maintaining the 96 flow state information, in case of route failure, dupli-97 cate and out-of-order packet delivery can still occur. 98 The Distributed Quality-of-Service Routing (which 99 we call DQoSR) scheme, proposed in [7] for meet-100 ing bandwidth and/or delay constraints, requires that 101 a number of secondary routes be maintained in addi-102 tion to the primary (currently in use) route to the 103 destination. The network state information at each 104 node, obtained via periodic beaconing, enables find-105 ing the routes to the destination by a limited number 106

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1 of 'tickets'. But this costs extra database and band-2 width. In particular, the nodal database will grow at 3 the same rate with network size as in DSDV. In 4 FORP [10], the flow states are maintained for QoS 5 support, aided by the predicted link expiration times. 6 Rerouting is controlled by the destination node, and 7 route discovery at any phase is broadcast-based. QoS 8 extension of DSR [16] suggests flow state mainte-9 nance to minimize the route disruption for a session. 10 Implementation of proactive routing on top of DSR 11 and AODV for providing QoS support is reported in [17]. The routing scheme in these approaches [16,18] 12 13 is source-controlled and route discovery is broadcast-14 based.

3. Trigger-based Distributed Routing

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18 The proposed TDR protocol is designed to support 19 RT-QoS-aware applications. The scheme makes use 20 of on-demand route discovery, as in DSR, AODV, 21 ABR, TORA, and GPSR, to reduce the control over-22 head. To maintain the RT-QoS constraints, the flow 23 state for each session is maintained, as in FORP [10], 24 but in a distributed fashion at the active nodes. In case 25 of imminent link failure in the active route, alternate 26 route searching overhead is kept low by localizing 27 the alternate route queries to within certain neighbors 28 of the nodes along the source-to-destination active 29 route. For cost efficiency (quicker search and reduced 30 control overhead), rerouting is attempted from the 31 location of an imminent link failure, which we denote 32 as intermediate node initiated rerouting (INIR). If 33 INIR fails, to keep the flow state disruption at a 34 minimum, rerouting is attempted from the source 35 node, which is termed as source-initiated rerouting 36 (SIRR)[‡]. The TDR scheme keeps the size of the 37 nodal database small, irrespective of the network size, 38 by maintaining only the local neighborhood infor-39 mation. In addition, an activity-based database is 40 maintained at each node whose size is limited by 41 its maximum data-handling capacity and interference 42 from the other nearby nodes. The protocol details are 43 described below. 44

45 46 3.1. Database Management

All nodes in the network maintain the local neighbor-hood information. In addition, for an ongoing session,

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depending on its activity, a node maintains one of the54following three information bases: source database,55intermediate node database, and destination database.56Note that we have shown only the message fields57specific to the TDR protocol. To cope with the wire-58less channel-dependent errors, the messages can be59protected with suitable forward error correcting code.60

3.1.1. Local neighborhood database

A node can be in either of the two states-idle 64 (when it is not involved in any session) and active 65 (when it participates in a session). In any state (idle 66 or active), a node n periodically broadcasts beacons 67 containing its location and mobility information to 68 its local neighbors. It also listens to the beacons and 69 maintains a local neighborhood database denoted as 70 link table, LT_n , as shown in Table I. The nodes keep 71 the neighborhood information up-to-date by adjusting 72 the beaconing frequency, depending on the relative 73 mobility of the neighbors. The location information 74 of a node is assumed to be• available from the global Q4 75 positioning system (GPS), in outdoor environment, or 76 from acoustic range finding devices [20], in indoor 77 environment. 78

Note that unlike TDR (as well as FORP, GPSR, and E-AODV), which maintains only the local neighborhood database, DQoSR maintains the global information (delay, bandwidth, and cost to all possible destinations) at each node. Assuming the size of the database for each nodal information to be the same in both cases, in an *N*-node network with n_g neighbors on average, DQoSR would need to maintain a nodal database that is approximately (N/n_g) times larger than that of TDR. This also indicates that for the same network density, the nodal database size in DQoSR grows linearly with network size.

3.1.2. Activity-based information

Besides the neighborhood information, if a node actively participates in a session as the source (S), the destination (D), or an intermediate node (IN), a corresponding table called a source table ST_n , a

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Table I. Link	99	
<i>n</i> for the <i>i</i> th	neighbor.	100
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LT_{n}	Field description	102
P;	Receive power level	103
X_i, Y_i	Current (X, Y) coordinate	104
Vel_i , Dir_i	Velocity, direction of motion	105
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[±] It may be noted that INIR followed by SIRR (i.e. INIR

⁺ SIRR) scheme is akin to the crank-back route searching

approach in ATM - PNNI routing [19].

Table II. Activity-based information fields in different databases at node n.

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ST_n	IT_{n}	DT_{n}	Field description
Session_ID	Session_ID	Session_ID	Session ID
S_ID	S_ID	S_ID	Source ID
$D_{-}ID$	D_ID	D_ID	Destination ID
	S_{-loc}	S_loc	Source location (X, Y)
Max_BW	Max_BW	Max_BW	Maximum bandwidth demand
Max_Del	Max_Del	Max_Del	Maximum acceptable delay
D_loc	D_loc		Destination location (X, Y)
N_ID	$N_{-}ID$		Next node ID (towards D)
	P_ID	P_ID	Previous node ID (towards S)
	Dist	Dist	Distance from S (hop count)
Nod_actv	Nod_actv	Nod_actv	Activity flag (0 or 1)

destination table DT_n , or an IN table IT_n is main-1 2 tained. The fields in the three types of databases are 3 shown in Table II, where the first three fields (Ses-4 sion_ID, S_ID, and D_ID) uniquely identify a session. 5 The other fields are maintained for routing informa-6 Q5 7 tion exchange, to be explained in •Section 3.2.

At any time instant, a node n may require to main-8 tain some or all of the tables ST_n , IT_n , and DT_n 9 simultaneously for different ongoing sessions. Con-10 trary to the wireline networks, in which link capacities 11 (bandwidth) are independent of a node's connectivity, 12 in wireless networks a node's data-handling capac-13 ity is limited by the node's allocation of bandwidth. 14 Q6 For example, if the MAC• layer protocol is CDMA-15 based, then a node's maximum data rate is limited by 16 multiuser interference and the number of available 17 orthogonal codes (if multicoding scheme is used). If 18 the MAC protocol is TDMA-based, then it is lim-19 ited by the available time slots, frequency spectrum, 20 and cochannel interference. Accordingly, each node 21 n (idle or active) also maintains an updated residual 22 bandwidth (*Resi_BW_n*), which indicates its ability to 23 participate in a session. Since the maximum band-24 width resource is limited, the number of sessions that 25 a node can participate in is also limited, irrespec-26 tive of the network density and size. Therefore, the 27 size of the activity-based database is also limited. The 28 activity-based database is soft-state-maintained and 29 requires to be refreshed by in-session data packets. 30 31 At any time, if at a node (n) the soft-state timer for a session expires (e.g. as a result of unforeseen route 32 failure), the corresponding nodal database is purged 33 34 and the Resi_BW_n is refreshed. 35

3.2. Control Traffic Management

To maintain updated routing information (activitybased database) at the nodes, certain information exchange among the active nodes is necessary. The required messages to be exchanged for initiating, maintaining, and terminating a real-time session are discussed below.

3.2.1. Initial route discovery

64 To reduce control traffic, TDR uses two-dimensional location information. However, since an idle node 65 66 keeps only the local neighborhood information, while 67 initiating a session the source node may not have any 68 clue about the location of the destination unless it is 69 a local neighbor, or its location information is cached 70 at the source node among its recently concluded ses-71 sions. If the information is available in the source 72 cache, route discovery is performed via selective for-73 warding, where the query packet at each node is 74 forwarded to a limited number of preferred neighbors, 75 and this process is repeated until the query reaches the destination. Since the destination's location informa-76 77 tion in the cache may not be up-to-date (i.e. may be 78 imprecise), the diameter (measured by the number of 79 route request forwarding nodes) of selective broadcast 80 should be larger than that of the alternate route search (to be discussed in Section 3.2.3). In case of no prior 81 knowledge about the destination, the source initiates 82 83 flooding-based initial route discovery. To ensure sta-84 bility of routes and to reduce control overhead, only the selected neighbors from where the receive power 85 are more than a threshold level (P_{th1}) are considered 86 87 for a possible link.

The fields in the initial route discovery control packet are shown in Figure 1. Description of the fields can be found in Table II. Each source provides its own Session_ID. To reduce the field size, the lowest possible sequence number is picked up, excluding the IDs for the ongoing sessions originated from that node, as a new Session_ID.

The source (S) checks if it has enough residual bandwidth ($Resi_BW_S$) to satisfy the maximum bandwidth[§] requirement (Max_BW) for the session. If the

Session_ID	S_ID	D_ID	S_loc	N_ID	Dist	Max_BW	Max_del	
Fig. 1. Session initiation route discovery packet structure.								

[§] This is to ensure full QoS support whenever a flow path is ensured. One could instead consider minimum bandwidth 105 criteria for a flexible QoS support. 106

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1 demand can be met at S, the required bandwidth 2 is temporarily reserved for a certain lifetime within 3 which it expects to receive the acknowledgment from 4 the destination. The source table ST_S is built with the 5 Nod_actv flag still set to '0' (i.e. idle), and the route 6 discovery procedure is initiated. To find a valid route 7 to the destination, a modified breadth-first search 8 algorithm is applied abiding by the following rules:

9 • Upon receiving the first discovery packet for a 10 session, the IN increments the Dist tag by 1 and 11 checks for its residual bandwidth ($Resi_BW_{IN}$). If it 12 can meet the maximum bandwidth demand, and the 13 updated Dist tag is less than Max_del (measured as 14 hop count), the required bandwidth is temporarily 15 reserved, the activity table IT_{IN} is built with the 16 Nod_actv flag '0', and the packet is forwarded to 17 its downstream neighbors with the updated N_ID 18 field. If either or both the Max_BW and Max_del 19 criteria cannot be satisfied, the discovery packet is 20 simply dropped. 21

- To ensure loop-free routing, intermediate nodes 22 accept the route discovery packet only once (the 23 one with the minimum Dist tag) for a particular 24 session. 25
- Upon reception of the first discovery packet, if 26 the destination satisfies the Max_del requirement 27 (after incrementing the Dist tag) and has at least 28 Max_BW available, the discovery packet and the 29 corresponding route are accepted. This also ensures 30 the shortest route from the source satisfying the bandwidth and delay criteria. 32

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The above rules ensure that the decided route is the shortest one in the current network condition, which may not be necessarily the shortest in number of hops.

36 The concept of temporary reservation of bandwidth 37 in the route discovery phase in TDR is similar to that 38 in resource reservation protocol (RSVP) [21], but dif-39 fers in implementation. More specifically, unlike in 40 RSVP, to minimize the resource holding, the reser-41 vation time in TDR is varied depending on the node's 42 location, which is approximately known from the 43 Max_del tag and the updated Dist tag in the discov-44 ery packet. The closer the Dist tag to the Max_del 45 value, the lesser the reservation time. Let T_d be the 46 current Dist tag value at a node and T_M be the 47 Max_del requirement for the session. Then the max-48 imum temporary bandwidth reservation time at that 49 node is $2(T_M - T_d)\tau_h$, where τ_h is the maximum time 50 required for a discovery packet to proceed from one 51 node to another, which includes packet processing 52 and propagation time. 53

3.2.2. Route/reroute acknowledgment

Once a route is accepted, the destination node builds the DT_D table with the Nod_actv flag set to '1' (i.e. active) and initiates a route acknowledgment (ACK) message toward the source along the selected route. On receiving the ACK packet, all intermediate nodes and the source node update the fields in their respective IT and ST tables (i.e. set their Nod_actv flags to '1') and refresh their Resi_BW status. Once the logical flow path is set up, the packet transmission for the session can follow immediately. The fields in a route/reroute acknowledgment packet are shown in Figure 2.

Besides acknowledging the route/reroute queries, the destination node also sends its location update to the active nodes via the ACK packet whenever there is appreciable change in its location. This reduces the chance of using stale location information for rerouting purposes.

3.2.3. Alternate route discovery

Rerouting a QoS session is necessary when an active node notifies its imminent shutdown state or its receive power from its local active neighbor reduces beyond a certain critical limit. In any case, the upstream active node (closer to the source) initiates the rerouting process. We denote this as link degradation triggered rerouting[¶].

The rerouting process can be either source-initiated, called SIRR, or intermediate node-initiated, called INIR. An intermediate active node (IN) monitors its downstream receive power level. In SIRR, when the receive power level at an IN decreases to the threshold $P_{\text{th}2}$ (see Figure 3), the IN sends a rerouting indication via a 'status query' packet to the source node with the call identification fields (Session_ID, S_ID, D_ID) and the RR_stat flag set to '1'. Henceforth, the source takes control of the rerouting process. This rerouting approach is similar to that

Session_ID	S_ID	D_ID	S_ID/IN_ID	D_loc	P_ID	Dist	Max_BW	Max_del	

Fig. 2. Route/reroute acknowledgment packet structure.

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¹⁰⁰ 101 \P Other than for increased internodal distance, link degrada-102 tion can also occur owing to channel-fading effects caused by the inherent nature of the wireless medium. The slow 103 fading problem can be tackled by this scheme. For fast 104 fading, conventional protection mechanism at the data link 105 layer has to be incorporated. 106



Fig. 3. A pictorial representation of the rerouting process.

in [17], but differs in selective forwarding of route requests.

On the other hand, in INIR, when the downstream receive power level at an IN falls below a threshold P_{th1} with a negative rate of change, it initiates a 'sta-tus query' packet toward the source with appropriate call identification fields, filling the QN_ID (querying node ID) and N_ID fields with its own ID, the P_ID field with its previous node in the active route, and with the RR_stat flag set to '0'. If any upstream node is in the rerouting process, upon reception of the 'sta-tus query' packet it sets the RR_stat flag to '1' and returns the packet (as a 'status reply') to the query-ing node (QN_ID). On arrival at the source, the 'status query' packet is discarded (implying that the querying node can initiate the rerouting process). If the queryinitiating node receives no reply before its power level from the downstream node goes below the sec-ond threshold, P_{th2} , and further tends to decrease, it triggers the alternate route discovery process. Oth-erwise, it relinquishes the control of rerouting. This query/reply process eliminates the chance of dupli-cate alternate route discovery for a session. If the downstream receive power at any active intermedi-ate node goes below a critical limit P_{cr} , the source-destination route gets disrupted until the source is able to set up an alternate route. As in handoff in cellular systems [22], selection of thresholds P_{th1} and $P_{\text{th}2}$ have to be judicious so that unnecessary rerout-ing is avoided and at the same time a successful rerouting is done in case of a genuine link failure.

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The status query/reply packet structure is shown in 54 Figure 4. 55

An example of rerouting due to link degradation in the active route is shown in Figure 5, where it depicts the INIR. The size of a node indicates the level of bandwidth usage at that node and the thickness of a link denotes the amount of traffic carried along that link (possibly belong to multiple sessions). Since TDR has distributed control, it inherently adopts the INIR scheme. If INIR fails, to avoid/minimize route disruption SIRR is also attempted. It may be noted here that the preemptive routing in [17] follows SIRR. Owing to this, and also since it does not use the location information, the routing/rerouting control overhead in this approach is expected to be more control overhead-intensive.

In either rerouting approach (SIRR, INIR), the alternate route discovery packet structure as shown in Figure 6 can be used. The process is similar to the

Session_ID S_ID D_ID QN_ID P_ID N_ID RR_sta	Session_ID	S_ID	D_ID	QN_ID	P_ID	N_ID	RR_stat
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Fig. 5. An example of link degradation-based rerouting. The thickness of a link/node denotes the relative amount of traffic handled by it.

Session_ID	S_ID	D_ID	S_ID/IN_ID	D_loc	N_ID	Dist	Max_BW	Max_del
Fig. 6. Alternate route discovery packet structure.								
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1 initial route discovery, except that the packet forward-

2 ing from a node in this case is done more selectively. 3 Particularly, the rerouting process takes advantage of 4 location information of the local neighbors and the 5 approximate location of the destination, and forwards

6 the rerouting requests to only selected neighbors clos-7 est to the destination satisfying the delay and band-

8 width constraints.

9 The members of this selective broadcast group can 10 change because of nodal mobility, network density, 11 and traffic intensity. For highly mobile scenarios, link 12 degradation occurs fast. In such cases, as well as 13 owing to outdated location information, the member-14 ship count can be increased to ensure an alternate 15 route at the appropriate time.

16 Q7 Note that location-aided routing (LAR) [11] uses 17 the location information in a different way. On the 18 basis of the destination's approximate location, it 19 defines a conical region from the source, and all 20 nodes within the cone are responsible in forward-21 ing the route query. In case of route search failure, 22 the cone angle is expanded. Clearly, depending on 23 nodal density, latency in route search in this approach 24 can vary widely. Route searching control overhead 25 in LAR is also a function of nodal density. In con-26 trast, our local neighborhood information based selec-27 tive forwarding approach does not have this depen-28 dency.

29 The approach of selective forwarding of route/re-30 route query in TDR is similar to the geographic 31 forwarding in GPSR [2]. In GPSR, on the basis of 32 the local neighbors' location information, the actual 33 data packet is forwarded to the downstream neigh-34 bor that is closest to the destination. If at any 35 point no closer neighbor than itself to the destina-36 tion is found, then the packet is forwarded along 37 the perimeter of the 'void'-called perimeter for-38 warding. The distinct feature in TDR, however, is 39 that it selectively forwards the query to more than 40 one downstream neighbor. As will be shown in 41 Sections 5 and 6, with an optimum number of for-42 warding nodes at each node along the route, TDR 43 avoids encountering a 'void', and at the same time 44 Q8 significantly reduces the number of the control 45 packet exchanges (when compared with the flooding-46 based approaches).

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3.2.4. Route deactivation

50 When a session is either finished, terminated, or 51 rerouted, the old route has to be released. In the case 52 of a session completion or termination, the source 53

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Session_ID S_ID D_ID S_ID/IN_ID N_ID

Fig. 7. Route deactivation packet structure.

node purges its corresponding ST table and sends a route deactivation packet through the old route to the destination. The packet structure is shown in Figure 7. Upon receiving a route deactivation packet, a node updates its Resi_BW (by releasing the reserved bandwidth) and purges the activity database (IT or DT) for that session. No explicit deactivation packet is sent in case of rerouting, as the new route could consist of some old active nodes. The departed nodes refresh their activity databases and residual bandwidths after a certain fixed 'soft-state' interval (as in E-AODV [9] or RSVP [21]). Also, if for some reason (e.g. fast link failure) an old route could not be released, the associated nodes refresh their Resi_BW and clear their respective activity-based tables after a fixed 'soft-state' interval.

75 Before we proceed to evaluate the TDR proto-76 col performance, a few comments about the related 77 approaches are in order. The rerouting approach in 78 TDR has some similarities with ABR [6]. Particu-79 larly, in both TDR and ABR, routes are constructed 80 as required, and only one route per session is main-81 tained at a time. Route selection in both cases takes 82 care of longevity of links and nodal traffic conditions. 83 Also, to reduce control overhead and searching time, 84 both TDR and ABR attempt rerouting traffic from the 85 point of route failure. The distinct features on TDR 86 with respect to ABR are as follows: (i) Rerouting in 87 ABR is attempted only when a failure is detected, 88 whereas in TDR it is decided prior to the actual link 89 failure, on the health of the immediate downstream 90 link at an active node. (ii) Unlike in ABR, route sta-91 tus query in TDR helps avoid simultaneously initiated 92 alternate route search processes by more than one 93 active node, which in turn reduces rerouting con-94 trol message exchange in the network. (iii) To reduce 95 bandwidth and energy resource requirements, TDR 96 exploits approximate location information of nodes 97 and restricts the alternate route search query to a lim-98 ited number of nodes. On the other hand, alternate 99 route query in ABR is always broadcast-based. (Note 100 that in localized query (LQ[H]) approach in ABR, it limits the broadcast range to a certain number of hops, H.)

With the TDR protocol details discussed above, we next proceed to analyze and evaluate the performance of the protocol.

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4. Mobility Modeling

In this section, we develop an analytical framework for determining the average lifetime of a route, which is useful for estimating the rerouting control overhead associated with it (in Section 5) and for evaluating the proposed TDR protocol performance (in Section 6).

8 For obtaining the link lifetime, the approach in 9 [23] for wireless cellular networks is followed, and 10 the two-body-mobility in wireless ad hoc networks 11 is reduced to a one-body-mobility problem by intro-12 ducing relative position and motion [24]. Since the 13 internodal communication range in an ad hoc network 14 is expected to be small (on the order of microcellu-15 lar/picocellular BS to MH communication distance), 16 we proceed with the assumption, based on the obser-17 vations in [25,26] on 'well behaved' users' mobility 18 patterns, that during the lifetime of a given active 19 link, the relatively mobile node moves along a spe-20 cific direction with a constant velocity. The velocity 21 distribution of different nodes at different time inter-22 vals conforms to a given velocity profile.

23 We derive the route lifetime assuming two different 24 velocity profiles, namely, uniformly distributed and 25 Rayleigh-distributed, as we anticipate that these two 26 profiles would broadly capture two groups of users' 27 mobility pattern. Specifically, a coherent group of 28 users (e.g. military/rescue personnel) have nearly the 29 same velocity that may be represented by Rayleigh-30 distributed profile. On the other hand, a broad class 31 of users' (e.g. civilians) velocities can be better rep-32 resented by uniform distribution. Note that in on-33 demand multipath routing analysis [18], without con-34 sidering the actual mobility profile, the link lifetime is 35 assumed exponentially distributed. However, as will 36 be observed in the following text, link lifetimes for 37 both the velocity profiles (uniform and Rayleigh) are 38 Q9 quite different from those with exponential nature. 39

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4.1. Uniformly Distributed Velocity Profile

43 In this model, each mobile node is assumed to have 44 a uniformly distributed velocity between 0 and V_m , 45 and a uniformly distributed direction between 0 and 46 2π . The mobility of a node is characterized by $f_V(v)$ 47 and $f_{\Theta}(\theta)$, denoting respectively the velocity pdf 48 (probability density function) and the direction pdf. 49 The two pdfs are defined as follows:

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$$f_V(v) = \begin{cases} \frac{1}{V_m}, & 0 \le v \le V_m \\ 0, & \text{otherwise} \end{cases}$$

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and

$$f_{\Theta}(\theta) = \begin{cases} \frac{1}{2\pi}, & 0 \le \theta \le 2\pi \\ 0, & \text{otherwise} \end{cases}$$
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With the introduction of relative mobility, the relative velocity (v_e) of an active node will be a uniformly distributed random variable (RV) between 0 and $2V_m$, while the direction RV remains the same. The other neighboring active node of a link is now relatively static at a point. Thus, the new effective pdfs of the relatively mobile node are given by

 $f_{V_e}(v_e) = \begin{cases} \frac{1}{2V_m}, & 0 \le v_e \le 2V_m \\ 0 & \text{otherwise} \end{cases}$ (1)

and

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$$f_{\Theta_e}(\theta_e) = \begin{cases} \frac{1}{2\pi}, & 0 \le \theta_e \le 2\pi\\ 0, & \text{otherwise} \end{cases}$$
(2)

The pdf of distance Z traversed (refer to Figure 8) by the relatively mobile active node within the range of the relatively static neighbor can be found by using the standard methods [23]:

$$f_Z(z) = \begin{cases} \frac{2}{\pi R^2} \sqrt{R^2 - \left(\frac{z}{2}\right)^2}, & 0 \le z \le 2R \\ 0, & \text{otherwise} \end{cases}$$
(3)

where R is the range of circular coverage of a mobile node, assumed equal for all nodes.



Fig. 8. Distance traversed by the mobile node (M) within the range of relatively static active neighbor (O).

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The corresponding pdf of link lifetime $(T_L =$ Z/V_e) is

$$f_{T_L}(t) = \int_{-\infty}^{\infty} |v_e| f_Z(tv_e) f_{V_e}(v_e) \, \mathrm{d}v_e \qquad (4)$$

Substitution for $f_Z(\cdot)$ and $f_{V_e}(\cdot)$ from Equations (1) and 3) into Equation (4) gives

$$f_{T_{L}}(t) = \begin{cases} \frac{4R}{3\pi V_{m}t^{2}} \left[1 - \left\{ 1 - \left(\frac{V_{m}t}{R} \right)^{2} \right\}^{\frac{3}{2}} \right], \\ 0 \le t \le \frac{R}{V_{m}} \\ \frac{4R}{3\pi V_{m}t^{2}}, \quad t > \frac{R}{V_{m}} \end{cases}$$
(5)

whose corresponding CDF (cumulative distribution function) is given by

 $F_{T_{I}}(t)$

$$= \begin{cases} \frac{2}{\pi} \sin^{-1} \left(\frac{V_m t}{R} \right) - \frac{4}{3\pi} \tan \left[\frac{1}{2} \sin^{-1} \left(\frac{V_m t}{R} \right) \right] \\ + \frac{1}{3\pi} \sin \left[2 \sin^{-1} \left(\frac{V_m t}{R} \right) \right], & 0 \le t \le \frac{R}{V_m} \\ 1 - \frac{4R}{3\pi V_m t}, & t > \frac{R}{V_m} \end{cases}$$
(6)

In a K-hop source-to-destination route in a multihop wireless network with independent link failures, the link lifetime RVs are independent. Denoting the link lifetime RVs as $T_{L_1}, T_{L_2}, \ldots, T_{L_K}$, the route lifetime (T_R) is expressed as

$$T_R = \min(T_{L_1}, T_{L_2}, \dots, T_{L_K})$$
 (7)

Q10 For i.i.d.• RVs, the route lifetime pdf is obtained as

$$f_{T_R}(t) = K f_{T_L}(t) \left(1 - F_{T_L}(t) \right)^{K-1}$$
(8)

where $f_{T_L}(t)$ and $F_{T_L}(t)$ are given by Equations (5 and 6), respectively.

The expected K-hop route lifetime,

$$\overline{T}_R = \int_0^\infty t f_{T_R}(t) \,\mathrm{d}t \tag{9}$$

is obtained from Equation (8).

4.2. Rayleigh-distributed Velocity Profile

The velocity of a mobile node with parameter σ in this model is characterized by

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$$f_V(v) = \begin{cases} \frac{v}{\sigma^2} e^{-v^2/\sigma^2}, & v \ge 0\\ 0, & \text{otherwise} \end{cases}$$

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with uniformly distributed mobility direction between 0 and 2π .

For two mobile nodes with Rayleigh-distributed velocity profile with respective parameters σ_1 and σ_2 , the relative velocity of one with respect to the other relatively static node is Rayleigh-distributed with effective parameter $\sigma_e = \sqrt{\sigma_1^2 + \sigma_2^2}$. The effective mobility profile of the relatively mobile active neighbor is therefore characterized by

$$f_{V_e}(v_e) = \begin{cases} \frac{v_e}{\sigma_e^2} e^{-v_e^2/\sigma_e^2}, & v_e \ge 0\\ 0, & \text{otherwise} \end{cases}$$
(10)

with the same $f_{\Theta_e}(\theta_e)$ as given by Equation (2).

From Equations (3, 4, and 10), the pdf of link lifetime $(T_L = Z/V_e)$ is obtained as

$$f_{T_L}(t) = \begin{cases} \frac{2}{t} e^{-R^2/\sigma_e^2 t^2} I_1\left(\frac{R^2}{\sigma_e^2 t^2}\right), & t \ge 0\\ 0, & \text{otherwise} \end{cases}$$
(11)

where R is the range of a mobile node and $I_{\nu}(x)$ is the modified Bessel function of the first kind of order ν with parameter *x*.

Hence, the corresponding CDF is

$$F_{T_L}(t) = \begin{cases} e^{-t'} \left[I_0(t') + I_1(t') \right], & t \ge 0\\ 0, & \text{otherwise} \end{cases}$$
(12)

where $t' = R^2 / \sigma_e^2 t^2$.

Assuming the link lifetime RVs to be i.i.d., the pdf of K-hop route lifetime (Expression 7) is given by Equation (8), where f_{T_L} and F_{T_L} are given by Equations (11 and 12) respectively. Hence, by Equation 9, the expected K-hop route lifetime can be obtained as

$$\overline{T}_{R} = \int_{0}^{\infty} \left\{ 1 - e^{-t'} \left[I_{0} \left(t' \right) + I_{1} \left(t' \right) \right] \right\}^{K} dt \quad (13)$$

where $t' = R^2 / \sigma_e^2 t^2$.

Numerical results for expected route lifetime, corresponding effective control overhead (ECOH), and verification with simulation results are presented in Section 6.

5. Routing Performance Analysis

In this section, we quantify the routing performance and resource gain associated with TDR. Note that although it is intuitively obvious that the wider the route query zone (in terms of the number of query forwarding nodes from an upstream node), the higher

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will be the probability of a successful query search, we are also interested in minimizing the rerouting overhead. In this section, we will analyze the optimum number of query forwarding nodes required to ensure an alternate route.

5.1. Average Number of Neighbors

First, we obtain the average number of neighbors surrounding a node in the mobility space. Considering N nodes are distributed uniformly over a mobility space of area A, the approximate number of neighbors (n_g) of a node is given by

$$n_g \approx \sum_{i=1}^{N-1} i \times \Pr\{\text{the node has } i \text{ neighbors}\}$$
$$= \sum_{i=1}^{N-1} i \times C_i^{N-1} \left(\frac{a}{A}\right)^i \left(1 - \frac{a}{A}\right)^{N-1-i} \quad (14)$$

where *a* is the coverage area of a mobile node (considered equal for all nodes).

For simplicity, Equation (14) does not consider the 'boundary effects' where the nodes near the boundaries will have lesser region covered within the rectangle and hence there would be less than the predicted number of nodes around them. However, the error in the estimate (without considering the 'boundary effects') and in the subsequent analysis would be negligibly small for smaller a, larger N, and larger A. An approach to an accurate estimate of the average neighbor count is provided in Appendix I. The 'boundary effect' approximation error is shown in Table III.

5.2. Probability of Successful Alternate Route Search

To obtain a successful alternate route search probability in location-based directed query and to determine the optimum value of the maximum number of query forwarding nodes, we begin with the route search failure probability for the case of only one forwarding

Table III. 'Boundary effect' on average number of neigh-
bors around a node. $N = 150$, $R = 300$ m ($a = \pi R^2$).

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48 49	<i>A</i> (<i>m</i> ²)	Approximate n_g [Equation (14)]	Accurate n _g [Appendix I]
50	2000×1500	14.0	12.0
51	2000×2000	10.5	9.2
52	2500×2000	8.4	7.5
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neighbor. Subsequently, we obtain the failure probability for more relaxed cases, with more than one forwarding neighbor.

In selecting one or more forwarding neighbors of a node out of all its neighbors, it is assumed that the ones closest to the destination (based on relative location information) qualify first. Assuming that a node can serve one call at a time, a local neighbor is available for routing the query packet if it is not already acting as either a source, or an intermediate node, or a destination. For Poisson call arrival process at a node with rate λ and the average call holding time \overline{x} , the probability that a node is busy as a source, p_s , is

$$p_s = \lambda \overline{x}$$

Considering equiprobable source-destination pairs, a node can act as a destination with probability 1/(N-1). There are N-1 such potential nodes that could choose it as a destination. Therefore, the probability that a node is busy as a destination, p_d , is

$$p_d = \lambda \overline{x}$$

If the average route length is *h*-hop long, there would be on average (h - 1) nodes acting as intermediate nodes (i.e. routers) for a call. Hence, the probability that a node is busy as a router, p_r , is

$$p_r = (h-1)\lambda \overline{x}$$

Summing up all these, the probability that a node is busy, p_b , is given by

$$p_b = (h+1)\lambda \overline{x} \tag{15}$$

Assuming that each session takes a fixed (same) amount of bandwidth, if a node can support *c* such real-time sessions simultaneously, then Equation (15) will be modified as $p_b = (h+1)\left(\frac{\lambda \overline{x}}{c}\right)$. In any case, as a stability criteria the values of λ , *h*, *c*, and \overline{x} should be able to satisfy the condition $p_b < 1$. For example, given an average call holding time (\overline{x}), if the average hop length (*h*) is longer, the call arrival rate (λ) has to be lower and/or the number of simultaneously supported calls at a node (*c*) has to be higher.

Case 1: Only one forwarding neighbor:

Irrespective of the number of forwarding neighbors, a query packet forwarding at the source node succeeds if at least one of its local neighbors is available (i.e. can accommodate the call) at that instant. Ignoring the 'boundary effect', the corresponding 101
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alternate route query packet failure probability at the source is given by

$$P_{F(1)}^{(1)} = \sum_{i=1}^{N-1} C_i^{N-1} \left(\frac{a}{A}\right)^i \left(1 - \frac{a}{A}\right)^{N-1-i} p_b^i$$
$$\equiv 1 - P_{S(1)}^{(1)}$$
(16)

where p_b is given by Equation (15), and $P_{S(1)}^{(1)}$ is the successful query packet forwarding probability.

For the remaining nodes along the source-to-destination route, the query packet is successfully for-warded if the intermediate node has at least two local neighbors (including the upstream node, from where the query packet is received), and at least one of the downstream local neighbors is available for the call. The query failure probability at the *k*th intermediate node, which is independent of k, for k = 2, 3, ..., K, in a K-hop route is given by

$$P_{F(k)}^{(1)} = (N-1) \left(\frac{a}{A}\right) \left(1 - \frac{a}{A}\right)^{N-2} + \sum_{i=2}^{N-1} C_i^{N-1} \left(\frac{a}{A}\right)^i \left(1 - \frac{a}{A}\right)^{N-1-i} \times (1 - p_b) p_b^{i-1} \equiv 1 - P_{S(k)}^{(1)}$$
(17)

where $P_{S(k)}^{(1)}$ is the probability of successful query packet forwarding at an intermediate node.

Therefore, with maximum one query forwarding neighbor, a K-hop alternate route search is successful with probability

$$P_{S}^{(1)}(K) = P_{S(1)}^{(1)} \left[P_{S(k)}^{(1)} \right]^{K-1}$$
(18)

Case 2: More than one forwarding neighbor:

For more than one forwarding neighbor, the probability of successful query packet forwarding from the source to the next node is given by Equation (16), that is, $P_S^{(M)}(1) = P_{S(1)}^{(1)}$. But the probability of query success at an intermediate stage increases. An example of route query forwarding with maximum two forwarding nodes is shown in Figure 9. With maximum M forwarding nodes, query success probability for up to 2-hop is given by

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$$\sum_{i=j}^{N-1} C_{i}^{N-1} \left(\frac{a}{A}\right)^{i} \left(1 - \frac{a}{A}\right)^{N-1-i}$$
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$$\left(C_{j}^{i}(1-p_{b})^{j}p_{b}^{i-j} \times \left[1-\left(P_{F(k)}^{(1)} \right)^{j} \right]$$

$$-\sum_{j=M+1}^{N-1}\sum_{i=j}^{N-1}C_{i}^{N-1}\left(\frac{a}{A}\right)^{i}\left(1-\frac{a}{A}\right)^{N-1-i}$$

$$\times C_{j}^{i}(1-p_{b})^{j}p_{b}^{i-j} \times \left[1-\left(P_{F(k)}^{(1)}\right)^{M}\right]$$
(19)

where $P_{F(k)}^{(1)}$ is obtained from Equation (17).

For obtaining the probability of query success up to 3-hop, $P_S^{(M)}(3)$, we note that from the end of stage k to the end of stage k + 2, for all k > 0, the query success probability is

$$P_{S(k)}^{(M)}(2) = \sum_{j=1}^{M} \sum_{i=j+1}^{N-1} C_i^{N-1} \left(\frac{a}{A}\right)^i \left(1 - \frac{a}{A}\right)^{N-1-i}$$

$$\times C_{j}^{i-1}(1-p_{b})^{j}p_{b}^{i-1-j} \times \left[1-\left(P_{F(k)}^{(1)}\right)^{j}\right]$$

$$+\sum_{j=M+1}^{N-2}\sum_{i=j+1}^{N-1}C_{i}^{N-1}\left(\frac{a}{A}\right)^{i}\left(1-\frac{a}{A}\right)^{N-1-i}$$

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$$\times C_{j}^{i-1} (1-p_{b})^{j} p_{b}^{i-1-j} \times \left[1 - \left(P_{F(k)}^{(1)}\right)^{M}\right]$$
(20)

and correspondingly, $P_{F(k)}^{(M)}(2) = 1 - P_{S(k)}^{(M)}(2)$.

Taking into account the first-hop query success probability, we have

$$P_{S}^{(M)}(3) = \sum_{j=1}^{M} \sum_{i=j}^{N-1} C_{i}^{N-1} \left(\frac{a}{A}\right)^{i} \left(1 - \frac{a}{A}\right)^{N-1-i} \\ \times C_{j}^{i} (1 - p_{b})^{j} p_{b}^{i-j} \times \left[1 - \left(P_{F(k)}^{(M)}(2)\right)^{j}\right] \\ + \sum_{j=M+1}^{N-1} \sum_{i=j}^{N-1} C_{i}^{N-1} \left(\frac{a}{A}\right)^{i} \left(1 - \frac{a}{A}\right)^{N-1-i} \\ \times C_{j}^{i} (1 - p_{b})^{j} p_{b}^{i-j} \times \left[1 - \left(P_{F(k)}^{(M)}(2)\right)^{M}\right]$$

$$(21)$$

22 Query success probability up to 4-hop is obtained 23 using Equations (20 and 21), with $P_{F(k)}^{(1)}$, $P_{S(k)}^{(M)}(2)$, and 24 $P_{S}^{(M)}(3)$ replaced by, respectively, $P_{S(k)}^{(M)}(2)$, $P_{S(k)}^{(M)}(3)$, 25 and $P_{S}^{(M)}(4)$. Higher-hop routes can be dealt with 26 similarly.

27 Table IV shows the effect of the maximum num-28 ber of query forwarding nodes on the alternate route 29 query failure probability. We observe that query per-30 formance is quite stable beyond maximum two for-31 warding nodes. Therefore, without affecting call drop-32 ping performance, rerouting overhead can be mini-33 mized with maximum query forwarding nodes set to 34 two.

From Table V we observe that although for maximum *one* forwarding node, query failure probability increases with distance, for maximum *two* forwarding nodes, query failure probability is almost stable beyond 2-hop from source. Intuitively, with only one forwarding node, a query process can fail

Table IV. Effect of maximum number of

43 query forwarding nodes (M) on query failure probability, 2-hops away from 44 source. 45 46 Query failure probability М 47 $p_b = 0.2$ $p_b = 0.6$ 48 49 1 3.1403×10^{-4} 0.0163 50 6.5055×10^{-5} 2 0.0102 51 3 6.4991×10^{-5} 0.0101 6.4991×10^{-5} 4 0.0101 52 53

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Table V. Query failure probability with hop distance.

p_b	М	Query failure probability							
		1-hop	2-hop	3-hop	4-hop				
.2	1	6×10^{-5}	3.1×10^{-4}	5.7×10^{-4}	8.2×10^{-4}				
	2	6×10^{-5}	6.5×10^{-5}	6.5×10^{-5}	6.5×10^{-5}				
.6	1	0.0098	0.0163	0.0228	0.0292				
	2	0.0098	0.0102	0.0109	0.0109				

at any stage, causing higher failure probability for longer distance. But, with maximum two forwarding nodes, as the depth of route search increases, there are many possible alternate routes to the destination (see Figure 9). Beyond the first two hops, query failure (or, alternatively, success) probability practically does not change. Therefore, for M > 1, a *K*-hop query success probability can be approximated as

$$P_S^{(M)}(K) \approx P_S^{(M)}(2).$$
 (22)

From Figure 9, it is worth noting that in contrast to the geographic forwarding in GPSR [2], having more than one query forwarding node, the location information of the destination node need not be very precise, as the query process covers a zone around the anticipated location of the destination. Also, even if the location information of the local neighbors of a querying node are imprecise, the relative positions are expected to be more accurate, which are sufficient in appropriate selection of query forwarding nodes.

5.3. Rerouting Control Overhead

We now determine an approximate rerouting control overhead (in terms of the number of nodes involved) associated with selective forwarding and broadcast, respectively.

Selective forwarding: For a K-hop route search, the number of nodes involved (excluding the source node) in selective forwarding with M forwarding neighbors is upper-bounded as

$$n_{\rm sel}^{(K)}(M) \le 1 + M + M^2 + \dots + M^{K-1}$$
 (23)

where the equality holds if at all forwarding stages at least M potential forwarding nodes are available. Thus, for a K-hop route search with M = 2, the maximum number of nodes involved is $2^{K} - 1$.

Broadcast: Since the nodes are uniformly randomly distributed in the mobility space, the average geographical distance of a *K*-hop source-to-destination route (along the shortest path) is approximately $K\frac{R}{2}$.

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Therefore, ignoring the 'boundary effect', the overhead involved in broadcast-based route discovery is approximately the number of nodes around the source within the circle of radius $K^{\frac{R}{2}}$, which is given by

> $n_{
> m bcast}^{(K)} pprox \left(rac{\pi K^2 R^2}{4}
> ight) \left(rac{N}{A}
> ight)$ $=\frac{Na}{4A}K^2$ (24)

where $a = \pi R^2$ and N is the total number of nodes in the mobility space of area A (see discussions on Equation 14).

Estimates of the number of nodes involved in selective forwarding and broadcast-based rerouting approaches, along with the route length, expected route lifetime (derived in Section 4), and session duration, enable us to compare the rerouting overheads in these two cases. Numerical results will be presented in the next section.

6. Simulation Experiments

Performance of the proposed TDR protocol is studied via C-based discrete event simulation. We are primarily interested in studying the effect of mobility on selective forwarding and prediction-based distributed routing, and comparing them with broadcast-based and reactive routing schemes. For simplicity, channelfading effects are not included in our current simulation, which will affect all the routing schemes discussed here, but not the general performance trends. As discussed in the mobility model (Section 4), the

mobile hosts (also called users or nodes) are assumed 36 Q11 to be 'well behaved' such that their movement pat-37 terns are not completely random. In our simulations, 38 a node's average velocity in an epoch** is constant 39 along a specific direction (for both velocity profiles). 40 At the end of an epoch, the velocity and movement 41 direction of the node randomly changes only within 42 certain limits. To trigger an alternate route search, 43 in addition to the current receive power (based on 44 relative distance), we take into account the rate of 45 change of receive power. This is to ensure some pri-46 ority to the active nodes with degrading link condition 47 [27]. Only when the current receive power is below 48 a predefined lower threshold and its rate of change 49

is negative, the alternate route discovery process is initiated.

The following assumptions on the network condition are made in the simulation: (i) Poisson arrival process; (ii) exponentially distributed session duration; (iii) equiprobable source-destination pairs; (iv) a node can handle more than one session simultaneously; (v) only real-time applications are considered. Since only real-time sessions are considered, an in-session data flow is always along a preset route. Because of this, in-session MAC conflict is assumed to be• nonexistent. It is also ensured that $|_{Q12}b^5$ no nodal or network partition occurs during run time. Since the fading-channel effect is not included, the receive power is considered in terms of equivalent internodal distance.

70 The values considered for the simulation param-71 eters are as follows: area of mobility space, A =72 $1500 \times 1000 \text{ m}^2$; default number of nodes, N = 60; 73 range of a mobile node, R = 300 m; end thresh-74 old distance $Th_2 = 270$ m; average nodal velocity 75 1 m s^{-1} to 10 m s^{-1} ; maximum velocity change per 76 epoch 10% of average; maximum direction change 77 per epoch (uniformly distributed) 90°; maximum 78 data-handling capacity of a node 10 kbps; maximum 79 data rate per session (uniformly distributed) 2 kbps; 80 average session interarrival time per node, $1/\lambda =$ 81 6 min; default average session duration, $\overline{x} = 3$ min; 82 average epoch length 6 sec; default maximum num-83 ber of query forwarding nodes from a node, M = 2. 84 Sufficient number of sessions are attempted to attain 85 the simulation results within 95% confidence interval. 86

On the basis of the above assumptions and param-87 eter values, we study the network performance with 88 the proposed TDR protocol and compare it with three 89 90 existing QoS routing protocols, for example, FORP, 91 DQoSR, and E-AODV.

In evaluating and comparing the TDR protocol 92 93 performance, it is assumed that with insufficient 94 resource, an attempted session could be either lost 95 (blocked call lost (BCL) model) or delayed (blocked 96 call delayed (BCD) model). In the BCL model, the 97 session acceptance performance is measured by grade 98 of service (GoS), which is the ratio of the sum 99 of blocked and dropped sessions to the number of 100 attempts. In the BCD model, the session acceptance 101 performance is measured by queueing delay, which is 102 the average waiting time of an attempted session in 103 the input buffer before it is accepted. 104

Because the network topology and mobility pattern 105 vary widely for different SEED values, for each 106

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⁵¹ ** An epoch is specified by the session interarrival time in 52 the network. 53

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protocol we simulate six different scenarios for each average velocity.

In the following text, first, we verify the analysis on route lifetime and rerouting control overhead. Then, we study separately the performance of the proposed TDR protocol, for example, GoS and control overhead for different selective forwarding cases. Finally, the comparative performance results of TDR with respect to the other existing proposals (e.g. FORP, DQoSR, and E-AODV) are carried out.

6.1. Verification of the Analysis

We study the variation of route lifetime in the simulated mobility model for uniformly distributed (Figure 10) and Rayleigh-distributed (Figure 11)







Fig. 11. Route lifetime for Rayleigh-distributed velocity profile.

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velocity profiles and compare them with the numer-ical results from analysis. In both cases, trends of route lifetime from simulation are quite similar to that from analysis. Particularly, for lower mobility and shorter route length, the match between simulation and analysis is quite good. For higher mobility and longer route, the active mobile nodes hit the bound-ary of rectangular mobility space more frequently, which disrupt the normal mobility pattern in simula-tion, leading to more route failure and hence shorter lifetime. In our analytic mobility models, velocity and direction changes at the session rerouting instants have no correlation with the respective previous states, whereas in simulation new velocity and direc-tion at every epoch are correlated with their respective previous values. This fact may explain the differences of simulation results from analysis at low mobility.

Figure 12 shows the ECOH plots for full broadcast-based and selective forwarding-based route discovery for uniformly distributed velocity profile. If $n_{\rm rr}^{(K)}$ is the number of nodes visited in a K-hop rerouting process, \overline{T}_R is the average route lifetime (given by Equation (9) or (13)), and \overline{x} is the average call duration, then ECOH is defined as $ECOH = (\overline{x}/\overline{T}_R)n_{\rm rr}^{(K)}$. For selective forwarding, $n_{\rm rr}^{(K)} = n_{\rm sel}^{(K)}(M)$ (Equation 23); for full broadcast, $n_{\rm rr}^{(K)} = n_{\rm bcast}^{(K)}$ (Equation 24). n_g is obtained from Equation (14). The analytic results are obtained for M = 2. The simulated results match closely the analytic prediction. The deviation at higher velocities could be because of more frequent route disruption in simulation due to the bordering nodes' mobility. The comparative ECOH plots for Rayleigh-distributed velocity profile follow similar trends as in Figure 12.



Fig. 12. Effective control overhead for uniformly distributed velocity profile for a 3-hop route.

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Fig. 13. Grade of service (sum of call blocking and dropping rates) variation with maximum number of query forwarding nodes. Maximum velocity 2 m s^{-1} .

In the subsequent discussions on comparative performance results, uniform velocity profile is considered.

6.2. TDR Protocol Evaluation

Although the TDR protocol adopts distributed rerout-7 ing, for comparing its overhead with respect to the 8 end node-controlled rerouting, namely, SIRR (e.g. 9 in [10,17]), we study INIR as well as SIRR. Here, 10 we consider that if at any time the current route fails 11 and an alternate route could not be found a priori (via 12 INIR, SIRR, or INIR followed by SIRR), the session 13 is dropped. 14

Figures 13 and 14 show, respectively, GoS (call 15 blocking + dropping rate) and rerouting control over-16 head variation versus the number of reroute request 17 forwarding nodes, for uniformly distributed velocity 18 profile, with maximum velocity 2 m s^{-1} . Rerouting 19 control overhead is a measure of the average number 20 of rerouting requests forwarded per session. 21

First, we observe that in all cases (SIRR, INIR, 22 and INIR + SIRR), GoS remains steady beyond max-23 imum two reroute request forwarding nodes (i.e. 24 M = 2), while the control overhead continues to 25 increase for up to approximately M = 11 (which is 26 nearly the average number of neighbors). The GoS 27 does not decrease further beyond M = 2, because for 28 M > 2, service degradation is mostly due to mobility-29 dependent failure. Beyond the saturation level of 30 GoS, the additional overheads due to full broad-31 cast with SIRR, INIR, and INIR + SIRR, ΔOH_{SIRR} , 32 $\Delta OH_{\rm INIR}$, and $\Delta OH_{\rm IN.S}$, respectively, are also 33 indicated in the figure, which indicate the utility of 34

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40 SIRR Rerouting control overhead per session 35 ΔOH_{SIRI} INIR + SIRR 30 INIR 25 20 ΔOH_{IN+S} 15 ΔOH_{INIF} 10 5 0 0 2 4 6 8 10 12 14 16 18 20 Maximum number of query forwarding nodes (M)

Fig. 14. Control overhead variation with maximum number of query forwarding nodes. Maximum velocity 2 m s⁻¹. ΔH 's are the respective additional control overhead required for full broadcast-based alternate route search, without substantial gain on grade of service.



Fig. 15. An example of triangular route selection. The original route is S-I-D. The new route for SIRR is S-J-D, whereas that for INIR is S-I-J-D. From triangle law, length (SI) + length (IJ) > length (SJ).

selective forwarding without losing the GoS perfor-54 mance. These results also verify the analytic results 55 (Tables IV and V). Second, it is observed that INIR 56 alone performs a little poorer over SIRR in terms of GoS. As a reason, we note that although the average searching distance in INIR is shorter (which will require lesser searching time) compared to the SIRR, rerouting from intermediate nodes causes (end-toend) longer routes (see Figure 15), associated with higher failure. Particularly, if the query-initiating node is very close to the destination, INIR may fail to secure an alternate route. In TDR, if at any point INIR fails, the rerouting control is transferred to the source, that is, then INIR is followed by SIRR. The GoS and control overhead plots show that INIR + SIRR has even better GoS performance and yet lesser control overhead compared to SIRR. Thus, INIR + SIRR takes the advantage of distributed rerouting control

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(INIR) and a possible second opportunity of alternate route search (SIRR following INIR).

Figures 16 and 17 show the GoS and associated control overhead variation with mobility. It is further observed here that INIR + SIRR performs as well as SIRR, and yet requires lesser control overhead. Unlike in Figure 12, the control plots in Figure 17 do Q13 not resemble linear.• This is because, control overhead for longer routes reach saturation values (due to 'boundary effect'), thereby introducing nonlinearity in the cumulative average for different route lengths. The additional threshold margin (and associated time) required in INIR + SIRR and its effect on network performance is not within the scope of our current simulation.

⊶ INIR SIRR - INIR + SIRR Grade of service (%) Maximum velocity of mobile nodes (m s⁻¹) Fig. 16. Grade of service versus nodal mobility (maximum two query forwarding nodes). SIRR session INIR + SIRR 🗕 INIR Rerouting control overhead per a



Maximum velocity of mobile nodes (m s⁻¹)

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6.3. Comparison Results

In comparing the TDR protocol performance with those of FORP, DQoSR, and E-AODV, it is assumed that once a session is successfully initiated, it is not dropped prematurely even if there is intermittent route failure. The packets during the route failure intervals are dropped. The protocol performance in such cases is measured in terms of QoS ratio, which is defined as the fractional successful packet transmissions per session, or alternatively as packet dropping probability. Note that since in all protocols the neighborhood/network information is maintained by periodic beaconing, this common overhead is not taken into account for comparison of control overhead; rather only the rerouting overheads are considered.

In FORP [10], only one active route is maintained. On the basis of the predicted route failure time, the destination initiates broadcast-based alternate route discovery up to the source. From the rerouting control point of view, this scheme is similar to TDR with SIRR (with $M \gg 2$).

In simulating DQoSR protocol [7] we consider up to two disjoint routes (the primary and one secondary). A session is accepted even if only one (primary) route could be secured. At any stage, if a session has only the primary route, the source tries for a secondary route at every status update epoch. In case of primary route failure, if there is a secondary route available, it immediately takes over the session and is treated as the current primary route. There is no QoS degradation in this case. On the other hand, during primary route failure, if no secondary route exists, the packets are dropped as long as the route failure persists.

In E-AODV protocol [9], only the active routes are maintained (soft-state concept). No attempt is made to maintain the source-to-destination logical connection. If the route fails, broadcast-based route discovery process is reinitiated from the source. The packets during the route failure intervals are dropped.

We provide the comparative performance results of these four protocols (TDR, FORP, DQoSR, and E-AODV) for the BCD model. The results for the loss model are not shown as they follow similar trends.

Figure 18 shows the QoS performances of differ-ent protocols, where it is observed that the E-AODV performs poorly at higher velocity as it has neither route prediction capability nor does it maintain alter-nate routes. TDR and FORP perform nearly the same as both protocols operate under the same prediction capability. DQoSR performs a little poorer than TDR and FORP, since it has to allocate more resources to

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Fig. 18. Variation of QoS ratio with mobility.

support the ongoing sessions and also because of its reactive nature.

Figure 19 shows the average control overhead per session (average number of rerouting control pack-ets generated per successful session) associated with the protocols. Since DQoSR maintains secondary resources for the ongoing sessions, the sessions expe-rience the minimum overhead, but the total overhead experienced by the network is much higher. Note that DQoSR has an additional nodal database overhead for maintaining network-wide delay and bandwidth information, which is not captured in our simulation. E-AODV has higher control overhead than that seen by a session in DQoSR because in this case every time the route fails, the session is interrupted and it (E-AODV) has to immediately start an alternate route discovery process. Distributed rerouting con-trol and selective forwarding-based route discovery

causes lesser rerouting overhead in TDR than that in FORP, which adopts localized control and broadcast-based route discovery. Although both FORP and E-AODV follow broadcast-based route search, FORP being proactive protocol requires more frequent invo-cation of rerouting routine, leading to higher overhead compared to E-AODV.

Variation of average rerouting control overhead per session with network size (for nearly the same nodal density, by varying the area of mobility space with the number of nodes) is shown in Figure 20. Call arrival rate at each node is kept constant for different network size. The obvious general trend is that the average route length increases with increase in network size, causing increase in route maintenance overhead. It also shows that TDR has low rate of overhead increment. Although FORP maintains only the active route, its broadcast-based route discovery causes higher overhead increment rate. The control overhead in DQoSR is lower than the case of FORP, as the route discovery is controlled by the number of tickets. Having poor QoS support in E-AODV, its overall control overhead is also low and the increment is slower.

QoS ratio versus average route length plot is shown in Figure 21, where for the same nodal density, the average source-to-destination distance is explicitly varied by changing the length-to-breadth ratio of the rectangular mobility space. Here also it is observed that proactively rerouting (in TDR and FORP) enables maintaining the logical route better. Again, E-AODV has much faster QoS ratio degradation, as it has neither link failure prediction mechanism nor does it maintain any alternate route.



Fig. 19. Rerouting control overhead at different mobility.



Fig. 20. Rerouting control overhead versus network size. Maximum velocity 10 m s^{-1} .

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Fig. 21. QoS ratio versus source-to-destination distance (average hop length). Number nodes: 60; Maximum velocity 10 m s⁻¹.

The preemptive routing approach in [17] was not explicitly considered for comparison as it is similar to FORP. Particularly, both these protocols follow end node-controlled rerouting (FORP is destinationcontrolled, whereas preemptive routing is sourcecontrolled) and both of them do not use location information in the alternate route discovery process.

7. Concluding Remarks

In this paper, we have presented a routing scheme 12 called trigger-based distributed routing (TDR) for 13 supporting RT-QoS traffic in mobile ad hoc networks. 14 The proposed TDR scheme uses failure prediction-15 based alternate route discovery and avoids mainte-16 nance of additional routes. This reduces control traffic 17 18 as well as the size of nodal database. In addition, TDR 19 makes use of selective forwarding of routing requests based on relative location information, and as a result 20 its route discovery overhead is further reduced. As an 21 added cost, this protocol requires some extra nodal 22 computation for selecting appropriate nodes to for-23 ward route requests. 24

Analytic mobility models have been developed to 25 estimate the route lifetime and associated rerouting 26 control overhead for RT-QoS support. The effect of 27 selective forwarding on rerouting success is quanti-28 fied via analysis. Simulations have been conducted 29 30 and the results have been verified to follow closely with the analytic results. 31

The TDR protocol performance has been studied 32 and compared with the existing QoS protocols for ad 33 hoc networks, such as FORP, DQoSR, and E-AODV 34

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via simulations. Significant superiority in the QoS 54 performance of 'prediction-based' TDR over these 55 56 'prediction-less' QoS routing protocols (E-AODV, DQoSR) has been noted. Both TDR and FORP are 57 'prediction-based' protocols, and both have a compa-58 rable OoS performance in terms of queueing delay 59 and QoS ratio. But, having distributed control and 60 selective packet forwarding, TDR requires limited 61 control overhead and has better scalability. 62

In the simulation, to ensure full QoS support, 63 whenever logical flow paths were available, resource 64 reservations were done on maximum bandwidth 65 demand for a session. This model can be extended to 66 study the OoS performance based on the minimum 67 bandwidth demand (for flexible QoS support) and 68 with heterogeneous traffic. In such cases, however, 69 even if a flow path exists, there can be QoS 70 degradation in terms of QoS ratio and end-to-71 end delay variation due to burstiness of packet 72 arrivals. The fading-channel effect has not been 73 considered as we are primarily interested in studying 74 the benefit of proactive and selective forwarding-75 based rerouting over reactive and broadcast-based 76 rerouting strategies. Since channel fading will affect 77 the performance of all the protocols, we expect that 78 the trends of performance results will remain valid. 79

Appendix I

Probability of a node having *i* neighbors

Here we provide a more accurate estimate of the number of neighbors of a node for uniformly distributed nodes within a rectangular space. The coverage region of a mobile node is assumed to be• circular $\overline{Q^{14}}^{\beta 8}$ with radius R. For any other regular mobility space (e.g. circular), a similar approach has to be devised for the estimate.

The probability of a node having *i* neighbors is in general given by

$$P(i) = \sum_{(x,y)\in(X,Y)} P(x, y) C_i^{N-1} \left(\frac{a(x, y)}{A}\right)^i$$

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where P(x, y) is the probability of finding the node under consideration at the point (x, y), and a(x, y)is the area covered by the node within the mobility space.

104 Referring to Figure A.1, the probability of having 105 *i* neighbors around a node is dependent on the node's 106

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Fig. A.1. A rectangular mobility space showing different zones.

location. Depending on a node's coverage within the rectangular region, we divide the rectangular region into three zones—zone 1, zone 2, and zone 3. For example, if the node is in zone 1, its entire coverage lies within the rectangle. Whereas if the node is in zone 3, its coverage within the rectangle varies for every different position.

Zone 1:

$$R < X < X - R, R < Y < Y - R$$

Here $a(x, y) = \pi R^2$, a constant, is denoted as a_1 . Denoting A = XY, the probability of having *i* nodes in this case is obtained as

$$P_{1} = \frac{(X - 2R)(Y - 2R)}{A} C_{i}^{N-1} \left(\frac{a_{1}}{A}\right)^{i} \times \left(1 - \frac{a_{1}}{A}\right)^{N-1-i}$$
(A.2)

Zone 2:

Case 1 (Zone 2-1): R < x < X - R, y < R

Referring to Figure A.2, the area covered within the rectangle (a_{21}) is given by *ABCDEA*. The probability of having *i* nodes in this case is obtained as

$$P_{21} = \frac{1}{A} \sum_{R < x < R - R \ y < R} C_i^{N-1} \left(\frac{a_{21}}{A}\right)^i \times \left(1 - \frac{a_{21}}{A}\right)^{N-1-i}$$
(A.3)

where $a_{21} = \left\{ \pi - \tan^{-1} \left(\frac{\sqrt{R^2 - y^2}}{y} \right) \right\} R^2 +$

 $y\sqrt{R^2-y^2}$. Note that the area a_{21} and P_{21} being

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Fig. A.2. Location of the node showing partial coverage within the mobility space (Area *ABCDEA*).

(x, y)-dependent, P_{21} has to be computed by numerical simulation.

The probability of having *i* nodes in this case is

$$P_{22} = \left(\frac{1}{A}\right) \sum_{x < R \atop R < y < Y - R} C_i^{N-1} \left(\frac{a_{22}}{A}\right)^i$$

$$\left(1 - \frac{a_{22}}{A}\right)^{N-1-i} \tag{A.4}$$

where a_{22} is obtained similarly as in the case of *Zone* 2-1. Here also P_{22} has to be computed by numerical simulation.

From Equations (A.3 and A.4), total probability for the node in *zone* 2 having *i* neighbors is

$$P_2 = 2\left(P_{21} + P_{22}\right) \tag{A.5}$$

Zone 3:

We consider the zone corresponding to the corner point coordinate (0, 0).

Case 1:
$$(x - R)^2 + (y - R)^2 \le R^2$$
, $x, y \ge 0$

Refer to Figure A.3. Area (a_{31}) covered within the rectangle by the node is the area $AA_1A_2PQA_4A_3A =$ Area (AA_1CA_3) + Area (A_1CA_2) + Area (A_3CA_4) +

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Fig. A.3. Location of the node showing partial coverage within the mobility space (Area $AA_1A_2PQA_4A_3A$).

 $\operatorname{Area}(A_2CP) + \operatorname{Area}(A_4CQ) + \operatorname{Area}(PCQ)$, which is

$$a_{31} = \frac{\pi R^2}{4} + xy + \frac{y}{2}\sqrt{R^2 - y^2} + \frac{x}{2}\sqrt{R^2 - x^2} + \left[\frac{\pi}{2} - \tan^{-1}\left(\frac{\sqrt{R^2 - x^2}}{x}\right)\right]\frac{R^2}{2} + \left[\frac{\pi}{2} - \tan^{-1}\left(\frac{\sqrt{R^2 - y^2}}{y}\right)\right]\frac{R^2}{2}$$
(A.6)

The probability of having i nodes in this case is

$$P_{31} = \left(\frac{1}{A}\right) \sum_{(x-R)^2 + (y-R)^2 \le R^2 \atop x, y \ge 0} C_i^{N-1} \left(\frac{a_{31}}{A}\right)^i \\ \times \left(1 - \frac{a_{31}}{A}\right)^{N-1-i}$$
(A.7)

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Case 2:
$$R^2 < (x - R)^2 + (y - R)^2 \le 2R^2$$
,
x, y \ge 0.

Refer to Figure A.4. The area (a_{32}) covered within the rectangle by the node is the area $B'B_1B_2B_3PQB_6B_5B_4$ $B' = Area(B_1CB_3B_2B_1) + Area(B_3CP) + Area$ $(PCQ) + Area(B_6CQ) + Area(B_4B_5B_6CB_4) +$ $Area(B_1B'B_4CB_1)$, which is

$$a_{32} = \frac{\pi R^2}{4} + x\sqrt{R^2 - x^2} + y\sqrt{R^2 - y^2} + \left[\frac{\pi}{2} - \tan^{-1}\left(\frac{\sqrt{R^2 - x^2}}{x}\right)\right]\frac{R^2}{2}$$

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Fig. A.4. Location of the node showing partial coverage within the mobility space (Area $B'B_1B_2B_3PQB_6B_5B_4B'$).

$$+\left[\frac{\pi}{2}-\tan^{-1}\left(\frac{\sqrt{R^2-x^2}}{x}\right)\right]$$

$$\tan^{-1}\left(\frac{\sqrt{R^2 - y^2}}{y}\right) \frac{R^2}{2} \qquad (A.8)$$

The probability of having *i* nodes in this case is

$$P_{32} = \left(\frac{1}{A}\right) \sum_{\substack{R^2 < (x-R)^2 + (y-R)^2 \le 2R^2\\ x, y \ge 0}}$$

$$C_i^{N-1} \left(\frac{a_{32}}{A}\right)^i \left(1 - \frac{a_{32}}{A}\right)^{N-1-i}$$
 (A.9)

As in the case of *zone 2*, P_{31} and P_{32} have to be computed by numerical simulation.

From Equations (A.7 and A.9), total probability for the node in *zone 3* having i neighbors is

$$P_3 = 4 \left(P_{31} + P_{32} \right) \tag{A.10}$$

Finally, from Equations (A.2, A.5, and A.10), the probability of a node having i neighbors is given by

$$P(i) = P_1 + P_2 + P_3 \tag{A.11}$$

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Swades De received the B.Tech degree in radiophysics and electronics from the University of Calcutta in 1993 and the M.Tech degree in optoelectronics and optical communication from the Indian Institute of Technology, Delhi, in 1998. During 1993–1997 and in the first half of 1999, he worked in different telecommunication companies in India as a hardware and software

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proceedings and is recognized for his pioneering research on Optical Internet, in particular, the optical burst switching (OBS) paradigm. His work on integrated cellular and ad hoc networking systems (iCAR) is also internationally acclaimed and has been featured in Businessweek and Wireless Europe.

Dr Qiao is on the editorial board of several journals and magazines including IEEE Communications and IEEE/ACM Transactions on Networking (ToN) and has guest-edited three IEEE JSAC issues. He has chaired and cochaired many international conferences and workshops including the High-Speed Networking Workshop (formerly GBN) at Infocom'01 and Infocom'02, Opticomm'02, and the symposium on Optical Networks at ICC'03.



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Association's Honor Professor Award in 1991 and

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QUERIES TO BE ANSWERED BY AUTHOR (SEE MARGINAL MARKS)

IMPORTANT NOTE: Please mark your corrections and answers to these queries directly onto the proof at the relevant place. Do NOT mark your corrections on this query sheet.

Query No.	Query
Q1	Please clarify if the details of the corresponding address are correct.
Q2	Please clarify if the abbreviations DSR, TORA, ABR, ZRP, AODV and GPSR need to be spelt out at the first instance. If so, please provide the expansions.
Q3	Please clarify if the abbreviations DSDV, WRP, GSR, DREAM needs to be spelt out at the firs instance. If so, please provide the expansions.
Q4	We have rephrased this part of the sentence. Please clarify if we have retained the intended meaning.
Q5	We have changed 'in the next subsection' to 'Section 3.2'. Please clarify if this is correct.
Q6	Please clarify if this abbreviation needs to be spelt out at the first instance. If so, please provide the expansion.
Q7	We have spelt out 'LAR' as 'location-aided routing'. Please clarify if this is correct.
Q8	We have rephrased this part of the sentence. Please clarify if this retains the intended meaning.
Q9	We have rephrased this part of the sentence. Please clarify if this is fine.
Q10	Please spell out 'i.i.d.' at the first instance.
Q11	We have rephrased this part of the sentence. Please clarify if we have retained the intended meaning.
Q12	We have rephrased this part of the sentence. Please clarify if this retains the intended meaning.
Q13	Please clarify if there are any words missing in the sentence 'Unlike in'.
Q14	We have rephrased this part of the sentence. Please clarify if we have retained the intended meaning.
Q15	Reference 28 has not been cited in text. Please provide the place of citation.